

2182 4/27/04
PATENT #10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/885,817
Confirmation No.: 4380
Filed: June 19, 2001
Inventor(s):
Shayne Young

§
§ Examiner: Unknown
§ Art Unit: 2182
§ Atty. Dkt. No: 5226-01600
§

Title: METHODS AND SYSTEMS
FOR IMPLEMENTING A
FORWARD CONVERSION
SECURITIES STRATEGY

<p>CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 DATE OF DEPOSIT: April 9, 2004 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents Alexandria, VA 22313-1450 B. Gail Ballard</p>
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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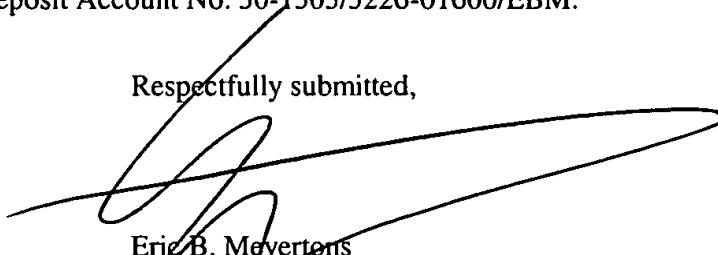
Technology Center 2100

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 (references E1-E7) be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5226-01600/EBM.

Respectfully submitted,


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Attorney for Applicant

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Date: April 9, 2004

DATE CONSIDERED:

Information Disclosure Statement--PTO 1449 (modified)